

**COPY**

**FINANCIAL DISCLOSURE REPORT**  
**Calendar Year 2000**

Report required by the Ethics in Government Act of 1978, as amended (5 U.S.C. App. 4, Sec. 101-112)

|  |  |   |  |
|--|--|---|--|
| <b>1. Person Reporting</b> (Last name, first, middle initial)<br>STARZYNSKI, JAMES S.  |  | <b>2. Court or Organization</b><br>District of New Mexico   | <b>3. Date of Report</b><br>05/15/2000                 |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>United States Bankruptcy Judge |  | <b>5. Report Type (check type)</b><br>Nomination, Date 08/14/1998<br>Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <input type="checkbox"/>   | <b>6. Reporting Period</b><br>01/01/2000 to 12/31/2000 |
| <b>7. Chambers or Office Address</b><br>P.O. Box 546<br>Albuquerque, NM 87103-0546   |  | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____ |  |

*IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on the last page.*

**I. POSITIONS** (Reporting individual only; see pp. 9-13 of Instructions.)

| POSITION   | NAME OF ORGANIZATION / ENTITY   |
|--|---|
| <input type="checkbox"/> NONE (No reportable positions.) |   |
| 1 President, sole shareholder and employee               | James S. Starzynski, P.A., formerly Starzynski & Strauser, P.A., formerly Francis & Starzynski, P.A. Firm continues in (cont'd. Part 8) |
| 2 Member   | American Bankruptcy Institute   |
| 3 Member   | National Conference of Bankruptcy Judges  |

**II. AGREEMENTS** (Reporting individual only; see pp. 14-16 of Instructions.)

| DATE  | PARTIES AND TERMS   |
|---|---|
| <input type="checkbox"/> NONE (No reportable agreements.) |   |
| 1 08/14/98  | James S. Starzynski, P.A. for disposition of remaining assets of corporation (1990 written agreement or subsequent informal agreement with (cont'd. Part 8) |
| 2   |   |
| 3   |   |

**III. NON-INVESTMENT INCOME** (Reporting individual and spouse; see pp. 17-24 of Instructions.)

| DATE  | SOURCE AND TYPE | GROSS INCOME (yours, not spouse's) |
|---|-----------------|------------------------------------|
| <input checked="" type="checkbox"/> NONE (No reportable non-investment income.) |                 |                                    |
| 1   |                 |                                    |
| 2   |                 |                                    |
| 3   |                 |                                    |
| 4   |                 |                                    |

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**IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.**

(Includes those to spouse and dependent children. See pp. 25-28 of Instructions.)

|   | SOURCE                                    | DESCRIPTION |
|---|---|-------------|
| X | NONE (No such reportable reimbursements.) |             |
| 1 |   |             |
| 2 |   |             |
| 3 |   |             |
| 4 |   |             |
| 5 |   |             |
| 6 |   |             |
| 7 |   |             |

**V. GIFTS**

(Includes those to spouse and dependent children. See pp. 29-32 of Instructions.)

|   | SOURCE                           | DESCRIPTION  | VALUE     |
|---|----------------------------------|--|-----------|
|   | NONE (No such reportable gifts.) |  |           |
| 1 | West Publishing Company          | Hard cover copies of Bankruptcy Reporter, and soft copy advance (cont'd. Part 8) | \$ 804.00 |
| 2 | New Mexico State Bar             | No requirement to pay dues for membership (applicable only beginning CY 1999)    | \$ 275.00 |
| 3 | West Publishing Co.              | Barry Russell's Bankruptcy Evidence Manual                                       | \$ 90.00  |

**VI. LIABILITIES**

(Includes those of spouse and dependent children. See pp 33-35 of Instructions.)

|   | CREDITOR                          | DESCRIPTION   | VALUE CODE* |
|---|-----------------------------------|---|-------------|
|   | NONE (No reportable liabilities.) |   |             |
| 1 | Barclays Bank, Leeds, U.K.        | Mortgage on deceased sister's flat in Newmarket, Eng., by virtue of my role as personal (cntd Part 8) | K           |
| 2 | Charter Bank, Albuquerque, NM     | Mortgage on residence of deceased sister in Valencia County, NM (sold May 3, 2000), by (cntd Part 8)  | L           |
| 3 |                                   |   |             |
| 4 |                                   |   |             |
| 5 |                                   |   |             |
| 6 |                                   |   |             |

\* VAL CODES: J=\$15,000 or less    K=\$15,001-\$50,000    L=\$50,001 to \$100,000    M=\$100,001-\$250,000    N=\$250,001-\$500,000  
 O=\$500,001-\$1,000,000    P1=\$1,000,001-\$5,000,000    P2=\$5,000,001-\$25,000,000    P3=\$25,000,001-\$50,000,000    P4=\$50,000,001 or more

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*(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

**VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions**

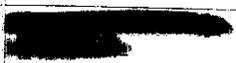
| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income during<br>reporting period |  | C.<br>Gross value<br>at end of<br>reporting<br>period |  | D.<br>Transactions during reporting period                                     |  |                               |   |   |
|--|---|--|---|--|--|--|-------------------------------|---|---|
|  | (1)<br>Amount<br>Code<br>(A-H)          | (2)<br>Type<br>(e.g.,<br>dividend,<br>rent or<br>interest) | (1)<br>Value<br>Code<br>(J-P)                         | (2)<br>Value<br>Method<br>Code<br>(Q-W)          | (1)<br>Type<br>(e.g., buy,<br>sell, partial<br>sale,<br>merger,<br>redemption) | If not exempt from disclosure                      |                               |   |   |
|  |   |  |   |  |  | (2)<br>Date:<br>Month-<br>Day                      | (3)<br>Value<br>Code<br>(J-P) | (4)<br>Gain<br>Code<br>(A-H)                  | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| NONE (No reportable income, assets, or transactions.)  |   |  |   |  |  |  |                               |   |   |
| 1 (J) Vanguard Windsor II mutual fund stock  |   | None   | N   | T  | None   |  |                               |   | None  |
| 2 (J) Vanguard Windsor II mutual fund  |   | None   | J   | T  | None   |  |                               |   | None  |
| 3 (FNIC) Pershing Govt Account (money market holding account)  | A                                       | Interest   | J   | T  | None   |  |                               |   | Holding acct for mut fund buys & sales                            |
| 4 (FNIC) Fidelity Advisers High yield Class B mutual fund  | A                                       | Dividend   | J   | T  | None   |  |                               |   | None  |
| 5 (FNIC) Fidelity Advisor Growth Opportunities Class B mut fun   |   | None   | K   | T  | None   |  |                               |   | None  |
| 6 (FNIC) Fidelity Advisor Equity Income Class B  | A                                       | Dividend   | J   | T  | None   |  |                               |   | None -- this was # 27 in CY 1999 report.                          |
| 7 (FNIC) Fidelity Advisor (Focus) Technology Class B mut fund  |   | None   | J   | T  | None   |  |                               |   | None  |
| 8 (FNIC) Fidelity Advisor (Focus) Health Care Class B mut fund   |   | None   | J   | T  | None   |  |                               |   | None  |
| 9 (FNIC) Fidelity Advisor (Focus) Finc'l Svc Class B mut fund  |   | None   | J   | T  | Rollover   | 2000   | J                             |   | Into Pershing account for Liberty mut fund                        |
| 10 (FNIC) Fidelity Advisor Int'l Cap. Appreciation Class B MF  | A                                       | Dividend   | J   | T  | None   |  |                               |   | None  |
| 11 (FNIC) Liberty Newport Japan Opportun Fund Class B  |   | None   | J   | T  | Rollover   | 2000   | J                             |   | From Fid Adv (Focus) Fin Svc thru Pershing                        |
| 12 (FNIC) Liberty Growth Stock Fund Class B  |   | None   | J   | T  | Rollover   | 2000   | J                             |   | From Fid Adv (Focus) Fin Svc thru Pershing                        |
| 13 (S) (FNIC) Pershing Govt Acct (money mkt acct)  | A                                       | Interest   | J   | T  | None   |  |                               |   | Holding fund for mut fund buys and sales                          |
| 14 (S) (FNIC) Fidelity Advisor Growth Opportunities Class B  |   | None   | J   | T  | None   |  |                               |   | None  |
| 15 S (FNIC) Fidelity Adv'r (Focus) Health Care Class B mut fun   |   | None   | J   | T  | None   |  |                               |   | None  |
| 16 S (FNIC) Fidelity Adv'r Intl Cap. Appreciation Class B MF   | A                                       | Dividend   | J   | T  | None   |  |                               |   | None  |
| 17 (C) UST Inc. (common)   |   | None   | J   | T  | Gift to son  | 12/25  | J                             |   | From his paternal grandfather                                     |
| 1 Inc/Gain Codes: A-\$1,000 or less (Col. B1, D4) F=\$50,001-\$100,000   |   | B=\$1,001-\$2,500 G=\$100,001-\$1,000,000                  |   | C=\$2,501-\$5,000 HI=\$1,000,001-\$5,000,000     |  | D=\$5,001-\$15,000 H2=\$5,000,001 or more          |                               | E=\$15,001-\$50,000                           |   |
| 2 Val Codes: J=\$15,000 or less (Col. C1, D3) O=\$500,001-\$1,000,000  |   | K=\$15,001-\$50,000 P1=\$1,000,001-\$5,000,000             |   | L=\$50,001-\$100,000 P2=\$5,000,001-\$25,000,000 |  | M=\$100,001-\$250,000 P3=\$25,000,001-\$50,000,000 |                               | N=\$250,001-\$500,000 P4=\$50,000,001 or more |   |
| 3 Val Mth Codes: Q=Appraisal (Col. C2) U=Book Value  |   | R=Cost (real estate only) V=Other                          |   | S=Assessment W=Estimated                         |  | T=Cash/Market                                      |                               |   |   |

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**VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions** *(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)*

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income during<br>reporting period |  | C.<br>Gross value<br>at end of<br>reporting<br>period |   | D.<br>Transactions during reporting period                                     |                               |                               |                              |   |
|--|---|--|---|---|--|-------------------------------|-------------------------------|------------------------------|---|
|  | (1)<br>Amount<br>Code<br>(A-H)          | (2)<br>Type<br>(e.g.,<br>dividend,<br>rent or<br>interest) | (1)<br>Value<br>Code<br>(J-P)                         | (2)<br>Value<br>Method<br>Code<br>(Q-W) | (1)<br>Type<br>(e.g., buy,<br>sell, partial<br>sale,<br>merger,<br>redemption) | If not exempt from disclosure |                               |                              |   |
|  |   |  |   |   |  | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code<br>(J-P) | (4)<br>Gain<br>Code<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction)                     |
| NONE (No reportable income, assets, or transactions.)  |   |  |   |   |  |                               |                               |                              |   |
| 18 First Security Bank, Albuquerque, NM (IRA CDs)  | A                                       | Interest   | J   | T                                       | None   |                               |                               |                              | None  |
| 19 (J) First Security Bank (savings)   | A                                       | Interest   | J   | T                                       | None   |                               |                               |                              | None  |
| 20 (J) First Security Bank (checking)  |   | None   | J   | T                                       | None   |                               |                               |                              | None  |
| 21 (J) General Electric common stock   | A                                       | Dividend   | L   | T                                       | None   |                               |                               |                              | None  |
| 22 (J) James S. Starzynski, PA (JSSPA), assets as follows:   | C                                       |  | L   | U                                       | Collections  | 2000                          | J                             |                              | Collection of part of ARs   |
| 23 (JSSPA) Accounts receivable   | C                                       | Collections  | L   | U                                       | Collections  | 2000                          | J                             |                              | Collected part of ARs -- none went to JSS   |
| 24 (JSSPA) Owed by previous shareholder and officer  |   | None   |   | U                                       | Corp reorgan   | 97-00                         |                               |                              | James S. Starzynski, PA -- see part VIII.   |
| 25 (S) PERA of NM Deferred Comp (see Part VIII)  |   | None   | K   | T                                       | None   |                               |                               |                              | None  |
| 26 (S) PERA of NM Money Plan (see Part VIII)   |   | None   | J   | T                                       | None   |                               |                               |                              | None  |
| 27 House, 635 Toy Lane, Bosque Farms, NM (probate estate)  |   | None   | M   | T                                       | Sale @ loss  | 05/01                         | M                             |                              | Anthony and Mary Lou Rodriguez  |
| 28 Flat, Newmarket, England (probate estate)   | D                                       | Rent   | M   | W                                       | None   |                               |                               |                              | None  |
| 29 Cash assets (Probate estate)  | B                                       | Interest   | L   | T                                       | Partial Use  | 2000                          | K                             |                              | None  |
| 30 Household goods (probate estate)  |   |  | J   | W                                       | Given away   | 2000                          | J                             |                              | Various family members  |
| 31 1991 Mazda Miata (pending final distribut from prob estate)   |   | None   | J   | W                                       | Transferred  | 1999                          | J                             |                              |  |
| 32   |   |  |   |   |  |                               |                               |                              |   |
| 33   |   |  |   |   |  |                               |                               |                              |   |
| 34   |   |  |   |   |  |                               |                               |                              |   |

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000  
(Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000  
(Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market  
(Col. C2) U=Book Value V=Other W=Estimated

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.***(Indicate part of report.)*

PART 1: Name of Organization/Entity re: James S. S, cont'd ...existence for collecting accounts receivable (including paying the requisite gross receipts taxes) and paying off remaining (continuing) miscellaneous liabilities, but is not active in any way in the practice of law except for executing the occasional withdrawal or appearance or substitution of counsel that may be previously overlooked in the process of transferring cases to other counsel.

PART 2: Parties and Terms re: James S. S, cont'd ...former shareholder Douglas T. Francis) to retire corporation's lines of credit -- retired in 1999 -- and to dissolve the corporation.

PART 7: Based on my conversation with Mr. George Reynolds on September 8, 1998, in valuing my interest in James S. Starzynski, P.A., I have not broken down or listed the firm's debtors or accounts receivable individually and by name, and I have no personal claim to the assets (except indirectly as the sole shareholder). The total value of the assets of James S. Starzynski, P.A. are listed at book value without regard to collectibility.

The Public Employees Retirement Association of New Mexico (PERA) accounts are the property of my spouse, partly separate property and partly community (or joint, for these purposes). The deferred compensation funds are invested in Nationwide Insurance Company Nationwide Dreyfus Third Fund, a mutual fund. The Money Plan is a cash fund. Neither I nor my spouse have any control or knowledge whatever about what funds or investments the managers put the money into in either account.

PART 8: The former officer and shareholder receivable was owed by the other shareholder in Francis & Starzynski, P.A., Douglas T. Francis. When he left, the name was changed to James S. Starzynski, P.A., and a reorganization of the corporation resulted in the receivable no longer being owed at some point. I became aware of the implications of reorganization in late 2000 in the course of reaching an understanding with Mr. Francis about the corporation's affairs. See further explanation from Parts 1 and 2 above.

Also, my sister's house in Bosque Farms, NM (Valencia County) sold for a loss, once all the selling costs, repair costs, etc. were taken into account.

The estimate on the value of the flat (apartment) in Newmarket, Suffolk, England, is based on discussions with a property management company that is now managing the property and on the former tenants who had priced housing in the area. This information was obtained in March 2001, and was not previously available

PART 5: Description re: Hard cover, cont'd ...sheets of same (figure is an estimate of the value, calculating the price of each hard-cover volume at \$67.00 per volume -- what I was paying in private practice -- and figuring the receipt of twelve volumes for the library that Judge Rose (my predecessor) and I used for the period of one year (CY 1998)); I have figured that the gift to me (so to speak -- it is really the chamber's library) is about twelve (12) volumes for CY 2000.

PART 6: Description re: Mortgage o, cntd ...administrator of probate estate (see Parts 1 and 8 above).

PART 6: Description re: Mortgage o, cntd ...virtue of my role as personal administrator of probate estate (see Parts 1 and 8 above).

Name of Person Reporting

Date of Report

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**SECTION HEADING.** (Indicate part of report.)

Information continued from Parts I through VI, inclusive.

PART 1. POSITIONS (cont'd.)

| Line | Position  | Name of Organization/Entity  |
|------|---|--|
| 4    | Member  | State Bar of New Mexico  |
| 5    | Member  | United States District Court Bar Association (DNM)   |
| 6    | Admitted to practice  | State of Connecticut   |
| 7    | Admitted to practice  | District of Connecticut  |
| 8    | Admitted to practice  | State of New Mexico  |
| 9    | Admitted to practice  | District of New Mexico   |
| 10   | Admitted to practice  | Northern District of California  |
| 11   | Admitted to practice  | Tenth Circuit Court of Appeals   |
| 12   | Admitted to practice  | United States Supreme Court  |
| 13   | Admitted to practice  | Northern District of Texas   |
| 14   | Personal representative (appointed February 25, 1999) -- uncompensated. | Informal probate estate of Delle Lorraine Starzynski (sister), 13th Judicial District, Sandoval County, NM (No. PB 99-00021) See Part 8. |
| 15   | Parent member   | Parent Advisory Committee on Bilingual Education for Albuquerque Public Schools  |

**FINANCIAL DISCLOSURE REPORT**

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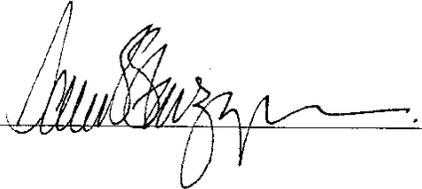
05/15/2000

**IX. CERTIFICATION**

I certify that all the information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. 4, section 501 et. seq., 5 U.S.C. 7353 and Judicial Conference regulations.

Signature



Date

15 May 2001

Note:

Any individual who knowingly and wilfully falsifies or fails to file this report may be subject to civil and criminal sanctions (5 U.S.C. App. 4, Section 104).

**FILING INSTRUCTIONS****Mail original and three additional copies to:**

**Committee on Financial Disclosure  
Administrative Office of the United States Courts  
One Columbus Circle, N.E.  
Suite 2-301  
Washington, D.C. 20544**

As of no later than May 16, 2001, Bankruptcy Judge James S. Starzynski and/or his spouse and/or his dependent child owned stock or had a financial interest (as defined in Canon 3.C(3)(c) of the Code of Conduct for United States Judges and in 28 U.S.C. §455) in the following companies or entities:

Vanguard Windsor II mutual fund  
(FNIC)<sup>1</sup> Pershing Govt Account (money market account)  
(FNIC) Fidelity Advisers High Yield Class B mutual fund  
(FNIC) Fidelity Advisor Growth Opportunities Class B mutual fund  
(FNIC) Fidelity Advisor (Focus) Technology Class B mutual fund  
(FNIC) Fidelity Advisor (Focus) Health Care Class B mutual fund  
(FNIC) Fidelity Advisor Equity Income Class B mutual fund  
(FNIC) Fidelity Advisor International Capital Appreciation Class B mutual fund  
(FNIC) Liberty Newport Japan Opportunity Fund Class B  
(FNIC) Liberty Growth Stock Fund Class B  
General Electric common stock  
UST, Inc. common stock  
James S. Starzynski, PA (fka Francis & Starzynski, PA, fka Starzynski & Strauser, PA)  
PERA<sup>2</sup> of NM Deferred Comp  
PERA of NM Money Plan

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<sup>1</sup>Financial Network Investment Corporation

<sup>2</sup>Public Employees Retirement Association of New Mexico